

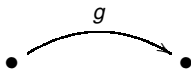
# Higher Gauge Theory, Division Algebras and Superstrings

John Baez and John Huerta

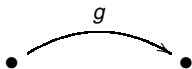
June 16, 2011  
Quantum Theory and Gravitation

for more, see:  
<http://math.ucr.edu/home/baez/susy/>

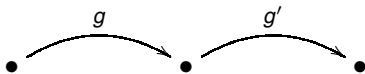
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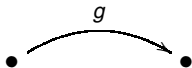
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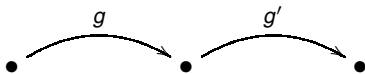
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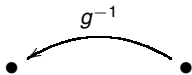
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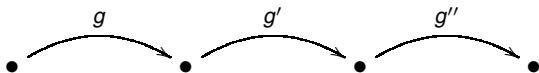
since composition of paths then corresponds to multiplication:



while reversing the direction corresponds to taking the inverse:



The associative law makes the holonomy along a triple composite unambiguous:



*So: the topology dictates the algebra!*

Higher gauge theory describes the parallel transport not only of point particles, but also higher-dimensional extended objects.

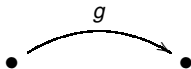
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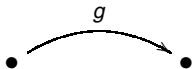




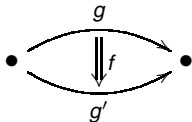
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but also morphisms:



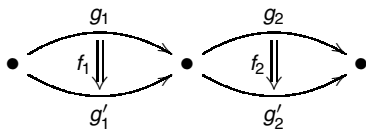
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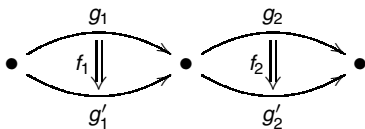
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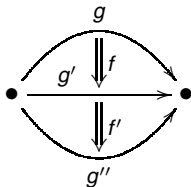
We can multiply objects:



multiply morphisms:



and also compose morphisms:



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Just as a group is a monoid where every element has an inverse, a **2-group** is a monoidal category where every object and every morphism has an inverse.

For higher gauge theory, we really want ‘Lie 2-groups’. By now there is an extensive theory of these.



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A spin foam model based on this Lie 2-group may serve as a ‘quantum model of flat 4d spacetime’, much as the Ponzano–Regge model does for 3d spacetime. See:

- Aristide Baratin and Derek Wise, 2-group representations of spin foams, arXiv:0910.1542.

for the Euclidean case.

Other examples show up in string theory. In his thesis, John Huerta showed that they explain this pattern:

- The only normed division algebras are  $\mathbb{R}$ ,  $\mathbb{C}$ ,  $\mathbb{H}$  and  $\mathbb{O}$ . They have dimensions  $k = 1, 2, 4$  and  $8$ .
- The classical superstring makes sense only in dimensions  $k + 2 = 3, 4, 6$  and  $10$ .
- The classical super-2-brane makes sense only in dimensions  $k + 3 = 4, 5, 7$  and  $11$ .

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For superstrings we need Lie 2-supergroups; for super-2-branes we need Lie **3**-supergroups.

To get our hands on Lie  $n$ -supergroups, it's easiest to start with 'Lie  $n$ -superalgebras'. Let's see what those are.

An  $L_\infty$ -**algebra** is a chain complex

$$L_0 \xleftarrow{d} L_1 \xleftarrow{d} \cdots \xleftarrow{d} L_n \xleftarrow{d} \cdots$$

equipped with the structure of a Lie algebra 'up to coherent chain homotopy'.

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*Which Lie 2-superalgebras, if any, are relevant to superstrings?*

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(Don’t worry, soon I’ll tell you what  $\mathfrak{siso}(T)$  actually *is!*)

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Let's see how it works in detail.

If  $V$  is a finite-dimensional real vector space with a quadratic form  $Q$ , the **Clifford algebra**  $\text{Cliff}(V)$  is the real associative algebra generated by  $V$  with relations

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In the first case  $S_+ \not\cong S_-$ . In the second, set  $S_+ = S_- = S$ . In either case, let's call  $S_+$  and  $S_-$  **left- and right-handed spinors**.



$\text{Cliff}_0(V)$  acts on  $S_+$  and  $S_-$ . But the whole Clifford algebra acts on  $S_+ \oplus S_-$ , with odd elements interchanging the two parts. So, we can ‘multiply’ a spinor by a vector and get a spinor of the other handedness:

$$\therefore V \otimes S_+ \rightarrow S_-$$

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So, let's see when  $\dim(V) = \dim(S_+) = \dim(S_-)$ .



$V$	$S_{\pm}$	normed division algebra?
$\mathbb{R}^1$	$\mathbb{R}$	YES: $\mathbb{R}$
$\mathbb{R}^2$	$\mathbb{C}$	YES: $\mathbb{C}$
$\mathbb{R}^3$	$\mathbb{H}$	NO
$\mathbb{R}^4$	$\mathbb{H}$	YES: $\mathbb{H}$
$\mathbb{R}^5$	$\mathbb{H}^2$	NO
$\mathbb{R}^6$	$\mathbb{C}^2$	NO
$\mathbb{R}^7$	$\mathbb{R}^8$	NO
$\mathbb{R}^8$	$\mathbb{R}^8$	YES: $\mathbb{O}$

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$\mathbb{R}^3$	$\mathbb{H}$	NO
$\mathbb{R}^4$	$\mathbb{H}$	YES: $\mathbb{H}$
$\mathbb{R}^5$	$\mathbb{H}^2$	NO
$\mathbb{R}^6$	$\mathbb{C}^2$	NO
$\mathbb{R}^7$	$\mathbb{R}^8$	NO
$\mathbb{R}^8$	$\mathbb{R}^8$	YES: $\mathbb{O}$

Increasing  $k$  by 8 multiplies  $\dim(S_{\pm})$  by 16, so these are the *only* normed division algebras!



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Now consider Minkowski spacetime of dimensions 3, 4, 6 and 10. Again, vectors and spinors have a nice description in terms of  $\mathbb{K}$ .

Now vectors  $V$  are the  $2 \times 2$  Hermitian matrices with entries in  $\mathbb{K}$ :

$$V = \left\{ \begin{pmatrix} t+x & \bar{y} \\ y & t-x \end{pmatrix} : t, x \in \mathbb{R}, y \in \mathbb{K} \right\}.$$

Now our quadratic form  $Q$  comes from the determinant:

$$\det \begin{pmatrix} t+x & \bar{y} \\ y & t-x \end{pmatrix} = t^2 - x^2 - |y|^2$$

Now right- and left-handed spinors are elements of  $\mathbb{K}^2$ , and 'multiplying' a vector  $A \in V$  and a spinor  $\psi \in S_+$  gives a spinor  $A \cdot \psi = (A - \text{tr}(A)1)\psi \in S_-$ .

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As reps of  $\text{Spin}(V)$  we have

$$V^* \cong V \qquad S_+^* = S_-$$

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Concretely:

$$[\psi, \phi] = \psi\phi^\dagger + \phi\psi^\dagger$$

It's symmetric!

So, we can define the **translation Lie superalgebra**

$$T = V \oplus S_+$$

with  $V$  as its even part and  $S_+$  as its odd part. We define the bracket to be zero except for  $[-, -]: S_+ \otimes S_+ \rightarrow V$ . The Jacobi identity holds trivially.

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$\text{Spin}(V)$  acts on everything, and its Lie algebra is  $\mathfrak{so}(V)$ , so we can form the semidirect product

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The corresponding Lie supergroup acts as symmetries of 'Minkowski superspace'.

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In these dimensions the multiplication

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and bracket

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obey the identity

$$[\psi, \psi] \cdot \psi = 0$$

Sudbery, Chung, Manogue, Dray, Janesky, Schray, *et al* proved this with a calculation using  $\mathbb{K}$ -valued matrices.

We get a Poincaré Lie superalgebra whenever we have an invariant symmetric bracket that takes two spinors and gives a vector. What's so special about the dimensions 3, 4, 6 and 10?

In these dimensions the multiplication

$$\cdot: V \otimes S_+ \rightarrow S_-$$

and bracket

$$[-, -]: S_+ \otimes S_+ \rightarrow V$$

obey the identity

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Sudbery, Chung, Manogue, Dray, Janesky, Schray, *et al* proved this with a calculation using  $\mathbb{K}$ -valued matrices.

In fact, *only* for Minkowski spacetimes of dimension 3, 4, 6, and 10 does this identity hold!

The identity  $[\psi, \psi] \cdot \psi = 0$  lets us extend the Poincaré Lie superalgebra  $\mathfrak{siso}(T)$  to a Lie 2-superalgebra

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The idea:

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- $[-, -, -]$  is zero unless two arguments are spinors and one is a vector in  $\mathfrak{siso}(T)$ , and

$$[\psi, \phi, \nu] = g([\psi, \phi], \nu) \in \mathbb{R}$$

where  $\psi, \phi \in S_+$ ,  $\nu \in V$ , and  $g: V \otimes V \rightarrow R$  is the **Minkowski metric**: the bilinear form corresponding to  $Q$ .

To get a Lie 2-superalgebra this way, the ternary bracket must obey an equation. This says that

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The equation

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is this cocycle condition in disguise.

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### Theorem (John Huerta)

*There is a 2-group in the category of supermanifolds,  $\mathbf{Superstring}(T)$ , whose Lie 2-superalgebra is  $\mathbf{superstring}(T)$ .*

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This theorem takes real work to prove. Not every Lie 2-superalgebra has a corresponding ‘Lie 2-supergroup’ in such a simple-minded sense! There are important finite-dimensional Lie 2-algebras that don’t come from 2-groups in the category of manifolds—instead, they come from ‘stacky’ Lie 2-groups.

He also went further:

### Theorem (Huerta)

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*In Minkowski spacetimes of dimensions 4, 5, 7 and 11, we can use division algebras to construct a 4-cocycle on the Poincaré Lie superalgebra.*

*This gives a Lie 3-superalgebra*

$$\mathfrak{iso}(T) \leftarrow 0 \leftarrow \mathbb{R}$$

*called **2-brane**( $T$ ).*

*Moreover, there is a 3-group in the category of supermanifolds, **2-Brane**( $T$ ), whose Lie 3-superalgebra is **2-brane**( $T$ ).*

2-Brane( $T$ ) is relevant to the theory of supersymmetric 2-branes in dimension 4, 5, 7 and 11. And so, the octonionic case is relevant to  $M$ -theory (whatever that is).

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**Summary:** For theories that include gravity and describe the parallel transport of extended objects, we want Lie  $n$ -groups extending the Lorentz or Poincaré group. Lie  $n$ -*supergroups* extending the Poincaré *supergroup* only exist in special dimensions, thanks to special properties of the normed division algebras. In 10 and 11 dimensions, the octonions play a crucial role.

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For more see:

- John Huerta, *Division Algebras, Supersymmetry and Higher Gauge Theory*, at <http://math.ucr.edu/home/baez/susy/>