

Thm: If we normalize  $\psi_n$  to get

$$|n\rangle = \frac{\psi_n}{\|\psi_n\|}$$

these form an o.n. basis of  $L^2(\mathbb{R})$ .

PF: They're orthonormal, since the  $\psi_n$  are orthogonal.

They form a basis since the finite lin. combs. of the  $\psi_n$  are dense in  $\mathcal{S}(\mathbb{R})$  & thus by previous prop. in  $L^2(\mathbb{R})$ .  $\square$

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The Weyl Algebra: the assoc. alg. gen by  $p, q$  s.t.

$$[p, q] = -i.$$

is also generated by

$$a = \frac{q + ip}{\sqrt{2}}$$

$$a^* = \frac{q - ip}{\sqrt{2}}$$

with  $[a, a^*] = 1$ , since

$$\frac{a + a^*}{\sqrt{2}} = q$$

$$\frac{a - a^*}{\sqrt{2}i} = p$$

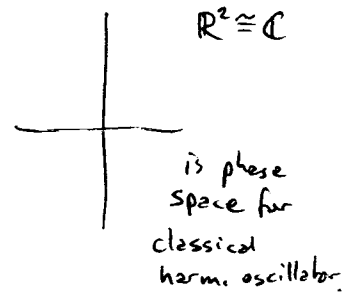
note the similarity with

$$z = x + iy$$

$$\bar{z} = x - iy$$

$$\frac{z + \bar{z}}{2} = x$$

$$\& \frac{z - \bar{z}}{2i} = y$$



We considered the Schrödinger rep of the Weyl algebra, where

$$p, q : \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R})$$

$$\mathcal{S}(\mathbb{R}) = \left\{ \psi : \mathbb{R} \rightarrow \mathbb{C} : \left| x^n \frac{d^n}{dx^n} \psi \right| \text{ bounded} \right\}$$

Now we're doing a quantum version of the same thing:

$a, a^*$  are like  $z$  &  $\bar{z}$

( $\rightarrow$  noncommutative complex analysis)

and

$$p = -i \frac{d}{dx}$$

$$q = M_x \quad (\text{mult. by } x)$$

We considered the eigenfunctions of

$$H = \frac{1}{2} (p^2 + q^2) : \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R})$$

& saw they were

$$\psi_0 = e^{-x^2/2} \quad H\psi_0 = \frac{1}{2}\psi_0$$

and

$$\psi_n = a^{*n} \psi_0 \quad H\psi_n = (n + \frac{1}{2})\psi_n.$$

In fact if we normalize these:

$$|n\rangle = \frac{\psi_n}{\|\psi_n\|} \quad \|\psi\|^2 = \int_{\mathbb{R}} |\psi|^2 dx$$

we get an o.n. basis of  $L^2(\mathbb{R})$ . We saw

$$a^* \psi_n = \psi_{n+1}$$

$$a \psi_n = n \psi_{n-1}$$

$$H \psi_n = (n + \frac{1}{2}) \psi_n$$

As operators on  $\mathcal{S}(\mathbb{R})$  we thus have

$$H = a^* a + \frac{1}{2} I.$$

In the Weyl algebra we indeed have  $H = a^* a + \frac{1}{2}$ :

$$a^* a = \left( \frac{q - ip}{\sqrt{2}} \right) \left( \frac{q + ip}{\sqrt{2}} \right)$$

$$= \frac{1}{2} (q - ip)(q + ip)$$

$$= \frac{1}{2} (q^2 - ipq + iq p + p^2) = \frac{1}{2} (p^2 + q^2 - 1)$$

$$\underbrace{-ipq + iq p}_{-i[p, q] = -1} = H - \frac{1}{2} \quad \text{the harmonic oscillator minus } \frac{1}{2}.$$

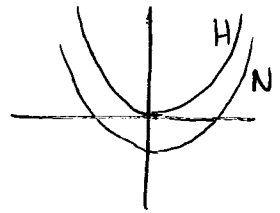
It would be nice to have everything in our eqns. be natural numbers. Why? Because we know how to categorify  $\mathbb{N}$  as FinSet. We have:

$$H\psi_n = (n + \frac{1}{2})\psi_n$$

↑ the fly in the ointment - an unnatural number.

To categorify we modify the Hamiltonian:

$$\begin{aligned} N &= H - \frac{1}{2} \\ &= a^*a. \end{aligned}$$



If we let  $N$ , the number operator be the "new, improved" Hamiltonian for our oscillator, we're really replacing

$$\frac{1}{2}(p^2 + q^2) \quad \text{by} \quad \frac{1}{2}(p^2 + q^2 - 1)$$

$\underbrace{\hspace{2em}}$   
potential
 $\underbrace{\hspace{2em}}$   
potential

This doesn't change any physics since:

1) classically:  $F = -V'$  doesn't change if you add a constant to  $V$

2) quantumly  $\frac{dO}{dt} = i[H, O]$  doesn't change if you add a constant to  $H$ .

So we get a rep. of the Weyl algebra with

$$\begin{cases} a^*\psi_n = \psi_{n+1} \\ a\psi_n = n\psi_{n-1} \end{cases}$$


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(and  $N\psi_n = n\psi_n$ )  $(N = a^*a)$

We call this the Heisenberg representation of the Weyl algebra if we treat  $\psi_n$  as abstract basis vectors, not wavefunctions.

BUT we can also think of the Heisenberg rep this way

$$\psi_n = z^n$$

$$a = \frac{d}{dz}$$

$$a^* = M_z$$

In short, we get a rep on the Weyl algebra on  $\mathbb{C}[z]$  by these formulas. This is called the Fock Representation.

If we think of  $a = \frac{d}{dz}$  &  $a^* = M_z$  as operators on polynomials, or analytic functions, or ... , on the complex plane, we call this the Bergmann-Segel representation.

We will categorify the Fock representation & see this is related to combinatorics. But before we get into that ...

We want to talk about:

- (1) The Uncertainty Principle
- (2) Fourier Transform.

(1). Suppose  $A$  is a self-adjoint operator on a Hilbert space  $H$ , finite-dim. for simplicity. We think of  $A$  as an "observable", but how does this work? We think of unit vectors  $\psi \in H$  as states: ways our system can be. We say  $|\langle \psi, \varphi \rangle|^2$  is the probability of finding system in state  $\psi$  given that it is in state  $\varphi$ . Note this number doesn't change if we multiply  $\psi$  by a phase ( $c \in \mathbb{C}$  s.t.  $|c|=1$ ), so really states are equivalence classes of unit vectors mod phase.

If  $A$  is s.a. then  $H$  has an o.n. basis of eigenvectors

$e_i \in H$

$$Ae_i = \lambda_i e_i$$

The numbers  $\lambda_i$  are all of the possible outcomes of measuring  $A$ , &  $e_i$  is the state in which measuring  $A$  always yields the value  $\lambda_i$ . Suppose we measure  $A$  in some arbitrary state  $\psi$ . We can write

$$\psi = \sum \langle e_i, \psi \rangle e_i$$

where  $|\langle e_i, \psi \rangle|^2$  is prob. of system in state  $\psi$  to be found in state  $e_i$ .

So when we measure  $A$  in state  $\psi$  we get the answer  $\lambda_i$  with prob  $|\langle e_i, \psi \rangle|^2$ . These probabilities sum to 1:

$$\sum |\langle e_i, \psi \rangle|^2 = \|\psi\|^2 = 1$$

The mean or expected value of  $A$  in state  $\psi$ :

$$\begin{aligned}
 \sum |\langle e_i, \psi \rangle|^2 \lambda_i &= \sum \langle \psi, e_i \rangle \langle e_i, \psi \rangle \lambda_i \\
 &= \sum \langle \psi, Ae_i \rangle \langle e_i, \psi \rangle \\
 &= \sum \langle A\psi, e_i \rangle \langle e_i, \psi \rangle \\
 &= \langle A\psi, \psi \rangle \\
 &= \langle \psi, A\psi \rangle
 \end{aligned}$$

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A quantum system has some Hilbert space  $H$  s.t.

- 1) states are unit vectors  $\psi \in H$  (really equivalence classes where  $\psi \sim \psi'$  if  $\psi' = c\psi$  for some  $c \in \mathbb{C}$  with  $|c|=1$ )
- 2) observables are self-adjoint operators  $A$  on  $H$ .

If  $A$  has an o.n. basis of eigenvectors

$$Ae_i = \lambda_i e_i$$

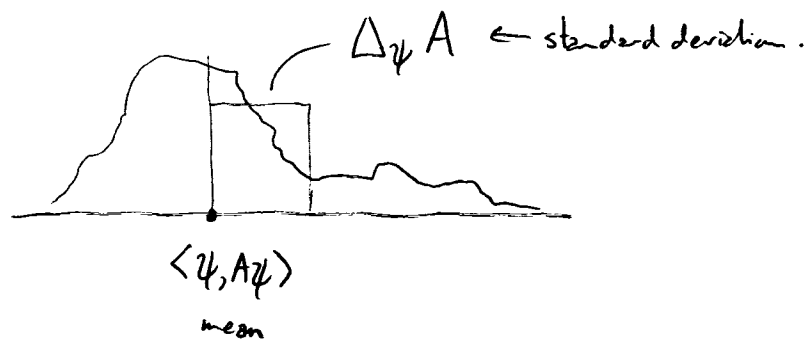
then

- i) when you measure  $A$  in state  $e_i$  you always get  $\lambda_i$ .
- ii) when you measure  $A$  in some state  $\psi$  you get  $\lambda_i$  with prob.  $|\langle e_i, \psi \rangle|^2$ .

Thus the mean value (expected value or expectation value) of  $A$  in state  $\psi$

$$\sum_i |\langle e_i, \psi \rangle|^2 \lambda_i = \langle \psi, A\psi \rangle$$

(Note: when we measure  $A$ , the state is not Kea  $\triangleq$  state " $A\psi$ ".  $A$  doesn't take a state to a new state - that's what unitary operators do in QM.)



The standard deviation of some quantity  $x$  is the ~~mean~~ square root of the mean of

$$(x - \text{mean of } x)^2$$

← note:  
can't use just  
mean of  $(x - \bar{x})$   
that's zero!

(The RMS)

The mean of  $(x - \text{mean of } x)^2$  is the variance  $(\Delta_{\psi} A)^2$ ; standard deviation is  $\Delta x$ .

So in quantum mechanics the variance of  $A$  in state  $\psi$  is

$$(\Delta_{\psi} A)^2 = \langle \psi, (A - \langle \psi, A \psi \rangle)^2 \psi \rangle$$

1) Thm: (Uncertainty Principle)

Suppose  $A, B$  are self-adjoint operators on a Hilbert space  $H$ , and  $\psi \in H$  is a unit vector. Then

$$\Delta_{\psi} A \Delta_{\psi} B \geq \frac{1}{2} |\langle \psi, [A, B] \psi \rangle|$$

Proof: First we'll modify  $A$  &  $B$  a little. Let

$$A' = A - \langle \psi, A \psi \rangle I$$

$$B' = B - \langle \psi, B \psi \rangle I$$

(i.e. subtract of the means)

so they have mean zero:

$$\langle \psi, A' \psi \rangle = \langle \psi, (A - \langle \psi, A \psi \rangle I) \psi \rangle = \langle \psi, A \psi \rangle - \langle \psi, A \psi \rangle \langle \psi, \psi \rangle = 0$$

$$\& \langle \psi, B' \psi \rangle = 0$$

Note  $[A', B'] = [A, B]$  and also the standard deviations are unaffected:

$$\Delta_\psi A' = \Delta_\psi A$$

$$\Delta_\psi B' = \Delta_\psi B$$

since for any constant  $C$

$$\begin{aligned} \Delta_\psi (A+C) &= \langle \psi, (A+C - \langle \psi, (A+C)\psi \rangle)^2 \psi \rangle \\ &= \langle \psi, (A - \langle \psi, A\psi \rangle)^2 \psi \rangle \\ &= \Delta_\psi A. \end{aligned}$$

Note: since mean of  $A'$  is zero,

$$(\Delta_\psi A')^2 = \langle \psi, A'^2 \psi \rangle$$

and same for  $B$ . It suffices to show the uncertainty principle for  $A'$  &  $B'$ , i.e. we need

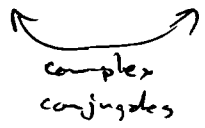
$$\text{to show } \sqrt{\langle \psi, A'^2 \psi \rangle} \sqrt{\langle \psi, B'^2 \psi \rangle} \geq \frac{1}{2} |\langle \psi, [A', B'] \psi \rangle|$$

$$\langle \psi, A'^2 \psi \rangle \langle \psi, B'^2 \psi \rangle \geq \frac{1}{4} |\langle \psi, [A', B'] \psi \rangle|^2$$

$$\begin{aligned} \|A'\psi\|^2 \cdot \|B'\psi\|^2 &\geq \frac{1}{4} |\langle \psi, A'B'\psi \rangle - \langle \psi, B'A'\psi \rangle|^2 \\ &= \frac{1}{4} |\langle A'\psi, B'\psi \rangle - \langle B'\psi, A'\psi \rangle|^2 \end{aligned}$$

So it suffices to show

$$\begin{aligned} \frac{1}{4} |\langle A'\psi, B'\psi \rangle - \langle B'\psi, A'\psi \rangle|^2 &\leq \frac{1}{4} |2\langle A'\psi, B'\psi \rangle|^2 \\ &= |\langle A'\psi, B'\psi \rangle|^2 \\ &\leq \|A'\psi\|^2 \|B'\psi\|^2 \quad \square \end{aligned}$$



Note: Cauchy Schwarz  $\Leftrightarrow$  Uncertainty Principle.



Note: this is all true if  $A, B$  bded or if  $\psi$  is in the domain of  $A', B', A'B'$  and  $B'A'$ .

Cor: If  $\psi \in \mathcal{S}(\mathbb{R})$  then

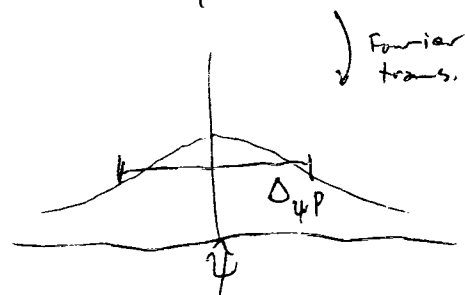
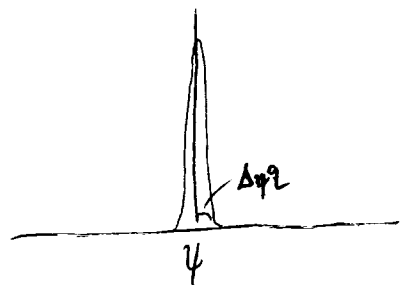
$$\Delta_{\psi} P \Delta_{\psi} Q \geq \frac{1}{2}$$

PF: in the previous thm, the R.H.S. was

$$\frac{1}{2} |\langle \psi, \underbrace{[P, Q]}_{=-i} \psi \rangle|^2 = \frac{1}{2} \quad \blacksquare$$

Or, restating th:

$$\boxed{\Delta_{\psi} P \Delta_{\psi} Q \geq \frac{\hbar}{2}}$$



1) This inequality is sharp! (can't make it better and have it still be true.) (i.e. we can get equality)

2) There are lots of  $\psi$  that get equality. You can write all of them down. The simplest is the g.s. of the harmonic oscillator:  $\psi = \frac{e^{-x^2/2}}{\|e^{-x^2/2}\|_{L^2}}$

Thm: If  $\psi$  is an eigenvector of the harmonic oscillator hamiltonian

$$H = \frac{1}{2}(p^2 + q^2)$$

$$H\psi = E\psi$$

then

$$E \geq \frac{1}{2}.$$

(Note: for  $\psi = \frac{e^{-x^2/2}}{\|e^{-x^2/2}\|}$  we do have  $H\psi = \frac{1}{2}\psi$ )

Proof: By the proof of the uncertainty principle,  
 $\langle \psi, p^2 \psi \rangle \langle \psi, q^2 \psi \rangle \geq \frac{1}{4} |\langle \psi, [p, q] \psi \rangle|^2$

(really just  
Cauchy-Schwarz  
for p, q s.d.)

But if  $H\psi = E\psi$ ,

$$\langle \psi, \frac{1}{2}(p^2 + q^2)\psi \rangle = E$$

so we need

$$\langle \psi, p^2 \psi \rangle + \langle \psi, q^2 \psi \rangle \geq 1.$$

Lemma: If  $A, B \geq 0$  and  $AB \geq \frac{1}{4}$  then  $A + B \geq 1$   
if  $A, B \geq 0$ .

PF:  $AB \geq \frac{1}{4}$  so  $B \geq \frac{1}{4A}$  so  $A + B \geq A + \frac{1}{4A}$

Why is  $A + \frac{1}{4A} \geq 1$ ? Find min  $A = \frac{1}{2}$  & check

$A + \frac{1}{4A} = 1$  at minimum. ■

## 2) Fourier Transform

The Fourier transform:

$$F: \mathcal{S}(\mathbb{R}) \rightarrow \mathcal{S}(\mathbb{R})$$

is given by:

$$F\psi = \hat{\psi}$$

where

$$\hat{\psi}(k) = \frac{1}{\sqrt{2\pi}} \int e^{-ikx} \psi(x) dx$$

This relates the momentum and position operators nicely:

Example:

$$\begin{aligned} \widehat{(p\psi)}(k) &= \frac{1}{\sqrt{2\pi}} \int e^{-ikx} \cdot -i\psi'(x) dx \\ &= \frac{1}{\sqrt{2\pi}} \int i(-ike^{-ikx}) \psi(x) dx \\ &= \frac{1}{\sqrt{2\pi}} k \int e^{-ikx} \psi(x) dx \\ &= k \hat{\psi}(k) \\ &= (q\hat{\psi})(k) \end{aligned}$$

Integration by parts. No boundary terms because Schwartz fns. are so nice

So

$$\boxed{Fp = qF}$$

$$\widehat{q\psi}(k) = \frac{1}{\sqrt{2\pi}} \int e^{-ikx} x \cdot \psi(x) dx$$

$$= \frac{1}{\sqrt{2\pi}} i \int \frac{d}{dk} (e^{-ikx}) \psi(x) dx$$

$$= \frac{1}{\sqrt{2\pi}} i \frac{d}{dk} \int e^{-ikx} \psi(x) dx$$

$$= \left( i \frac{d}{dk} \widehat{\psi} \right)(k)$$

$$= -(\widehat{p\psi})(k)$$

by niceness of  
Schwartz functions

so

$$Fq = -pF$$

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We have

$$p, q, F : \mathcal{S}(\mathbb{R}) \longrightarrow \mathcal{S}(\mathbb{R})$$

↑  
Fourier transform

where

$$\mathcal{S}(\mathbb{R}) = \left\{ \psi : \mathbb{R} \rightarrow \mathbb{C} : \left| x^n \frac{d^n}{dx^n} \psi \right| \text{ bounded} \right\}$$

$$\& (p\psi)(x) = -i \frac{d\psi}{dx}(x) \quad q(\psi)(x) = x\psi(x)$$

$$(F\psi)(k) = \frac{1}{\sqrt{2\pi}} \int e^{-ikx} \psi(x) dx$$

We saw:

$$Fp = qF$$

$$Fq = -pF$$

$$[p, q] = -i$$